

Notice Regarding Pre-Arranged Trading On U.S. Futures Exchanges

Pre-arranged trading results when a discussion is held by market participants prior to trade execution to ensure that a contra party will take the opposite side of a particular order. U.S. futures exchanges, including, but not limited to, CME, CBOT, NYMEX, ICE-US, CFE and OneChicago have regulations regarding the execution of pre-arranged trades. Interactive Brokers customers are responsible to know and abide by **ALL** exchange restrictions regarding pre-arranged trading. Interactive Brokers customers should not engage in pre-arranged trading unless such transactions are permitted by the relevant exchange. Customers should review the rules of each exchange to determine whether, and under what circumstances, such transactions are permitted. For your reference, various exchange rulebooks can be found at the following websites:

CME, CBOT, NYMEX

<http://www.cmegroup.com/market-regulation/rulebook/>

ICE Futures U.S.

<https://www.theice.com/futures-us/regulation#Rulebookf>

CFE

<http://cfe.cboe.com/aboutcfe/rules.aspx>

OneChicago

<https://docs.onechicago.com/display/PD/Market+Regulation#MarketRegulation-ExchangeRules>