

Notice Regarding NFA's BASIC-System

Interactive Brokers LLC ("IB") is required to inform its customers of the National Futures Association("NFA") Background Affiliation Status Information Center ("BASIC"). The BASIC system compiles various information on registrants and anyone can access this system on the Internet.

The information in the BASIC system includes Commodity Futures Trading Commission ("CFTC") registration information and membership information from the NFA. Also included are regulatory and non-regulatory actions contributed by the NFA, the CFTC and the U.S. futures exchanges regarding futures-related activity. The NFA BASIC system may be accessed at <http://www.nfa.futures.org/basicnet/>. To locate information on a registrant, simply enter the registrant's NFA ID number when prompted. For questions regarding this system, you may contact the NFA information center at 312-781-1410 or 1-800-621-3570 between the hours of 8:00 a.m. to 5:00 p.m. CST or by email at information@nfa.futures.org.